

ENANTIOSELECTIVE SYNTHESIS OF (+)- α -ALLOKAINIC ACID BY ASYMMETRIC LEWIS ACID-MEDIATED INTRAMOLECULAR ENE REACTION

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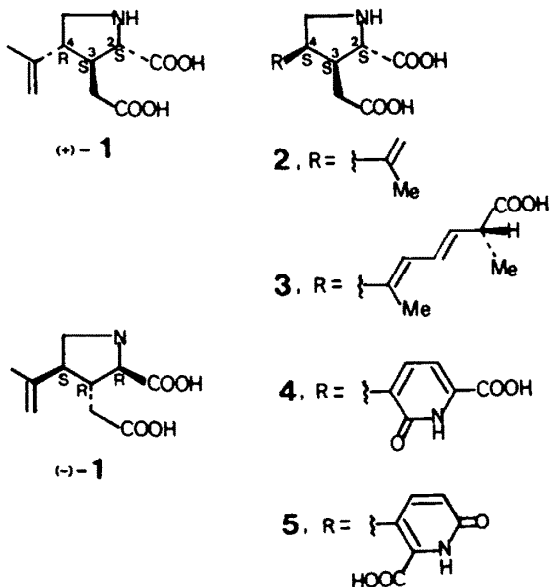
Abstract—(+)- α -Allokainic acid ((+)-1) has been prepared for the ester of *cis*- β -chloroacrylic acid and (–)-8-phenylmenthol by a sequence of four synthetic operations. The crucial step **8d**→**14d** (Scheme 4) is a ~100% diastereo- and 90% enantioselective intramolecular ene reaction proceeding at –35° on treatment of **8d** with Me₂AlCl. Saponification of **14d** regenerated the auxiliary chiral alcohol and yielded (+)-1 on subsequent decarboxylation. In the analogous cyclization **11d**→**15d** the sense of asymmetric induction (78% d.e.) was opposite as confirmed by the conversion of **15d** to (–)- α -allokainic acid.

(+)- α -Allokainic acid has been assigned structure 1 on the basis of chemical¹ and X-ray evidence.² It co-occurs in the marine algae *Digenea simplex* with its C(4)-epimer (–)- α -kainic acid (2).^{1,3} Based on an earlier correlation of structures (+)-1 and (–)-2,⁴ we recently established the absolute configuration of (+)-1 by a stereocontrolled synthesis of (–)-2 from (*S*)-glutamic acid.⁵



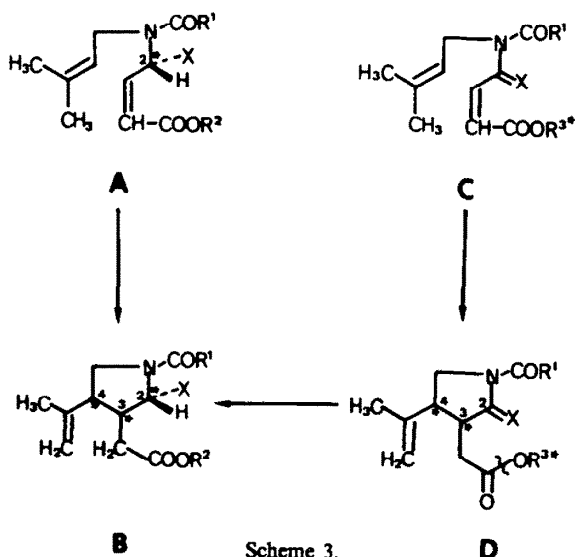
Scheme 2.

This goal converges with our longstanding interest in intramolecular type-I-ene reactions of 1,6-dienes (Scheme 2), a valuable method for the diastereoselective formation of 5-membered rings.⁹ Thus, the ene processes outlined in Scheme 3 offer short approaches to both α -kainic and α -allokainic acids. In fact, each of the racemic acids **1**¹⁰ and **2**¹¹ has been obtained selectively on directing the diastereoselectivity of the thermal cyclization by modification of the carboxyl equivalent X and of the enophile geometry. Aiming further at enantioselective syntheses of kainoids, we envisaged achieving asymmetric induction in the crucial ene addition.

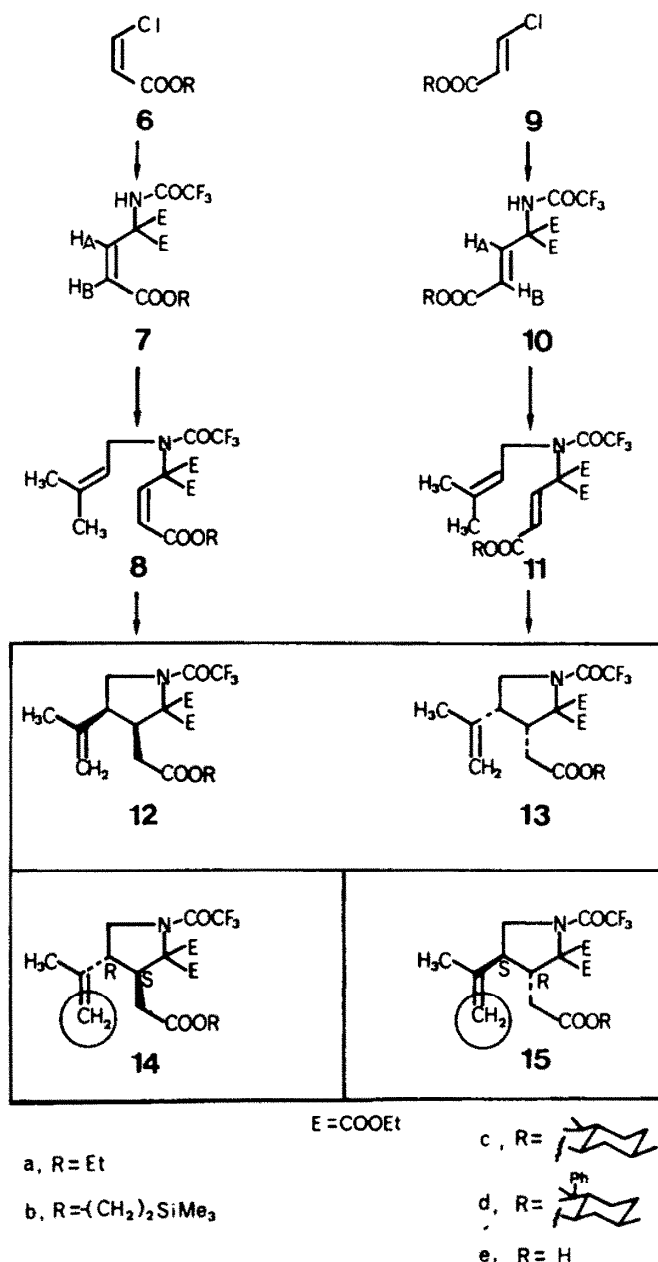


Scheme 1.

(–)- α -Kainic acid (2) is a powerful neuroexcitant inducing neurological disorders in mammals,⁶ as probably are (–)-domoic acid (3) (isolated from algae)⁷ and the mushroom constituents acromelic acids A and B (4, 5).⁸ Direct, versatile and stereocontrolled syntheses of related kainoids may provide a basis for developing antagonists to be used therapeutically in cases of excessive neuronal excitation.⁶



Scheme 3.



Scheme 4.

To this end, two basic strategies (Scheme 4) were particularly attractive. The first employs the cyclization **A**→**B**, in which the configurationally pure center C-2 of **A** determines the developing chirality at C-3 and C-4 in **B**; this has been applied successfully to the synthesis of (–)- α -kainic acid (**2**).⁵ In the alternative sequence, **C**→**D**→**B**, the chiral ester substituent R^{3*} in **C** first induces centers C-3 and C-4 in **D** which in turn control the configuration at C-2 in **B**. We wish to report here in experimental detail the implementation of the latter concept for the efficient synthesis of (+)- α -allokainic acid, reported previously in a short communication.¹²

Preparation and asymmetric ene-reactions of the dienes 8 and 11

Our chances of success had been considerably

increased by our previous finding that diethyl-aluminum chloride promotes efficient cyclization of the ethyl enoates **8a** and **11a** at -78 to -35° , giving the *trans*-pyrrolidines **14a/15a** with 100% and 89% diastereochemical control, respectively (Scheme 4).¹³ The convenient stereospecific routes to **8a** and **11a** were now readily applied to the synthesis of various (*Z*)- or (*E*)- dienoates. Thus, treatment of the menthyl *cis*- β -chloroacrylate **6c** (obtained from (–)-menthol and the acid **6e**¹⁴ by acid catalyzed esterification) with diethyl trifluoroacetamidomalonate¹⁵ in the presence of 1 eq. of potassium *t*-butoxide furnished the (*Z*)-butenoate **7c** (82%, ¹H-NMR: $J_{AB} = 12$ Hz). N-alkylation of **7c** with NaH/1-bromo-3-methyl-2-butene afforded the (*Z*)-menthyl dienoate **8c** (61%). Analogous Michael addition/elimination starting from the *trans*- β -

Table 1. Cyclizations of the (*Z*)- and (*E*)-Menthyl dienoates **8c** and **11c**

Diene	Cyclization conditions	Product ratios	
		$\frac{12c}{13c} / \frac{14c}{15c}$	14c/15c or 15c/14c
<u>8c</u>	70°/80 h	24 : 76	59 : 41
<u>8c</u>	180°/15 min.	24 : 76	56 : 44
<u>8c</u>	AlEt ₂ Cl/-78°	0 : 100	50 : 50
<u>11c</u>	70°/48 h	47 : 53	49 : 51
<u>11c</u>	180°/15 min.	50 : 50	50 : 50
<u>11c</u>	AlEt ₂ Cl/-78°	6 : 94	49 : 51

chloroacrylate **9c** yielded the (*E*)-ester **10c** (77%, ¹H-NMR: J_{AB} = 16 Hz), which on alkylation gave the (*E*)-diene **11c** (73%).

Before pursuing the cyclization of **8c** and **11c**, a method of readily assessing the expected induction was required. To this end we explored the possibility of NMR-analysis of the pure diastereoisomer pair **14c/15c** (1:1), independently accessible from the racemic monoacid **14e/15e**. The latter in turn was prepared by AlEt₂Cl-promoted cyclization of the trimethylsilylethyl ester **8b** (obtained *via* the route **6b**→**7b**→**8b**), followed by selective fluoride-induced ester cleavage.¹⁶ Esterification of racemic **14e/15e** with (–)-menthol (DCC, DMAP) afforded the desired 1:1-mixture **14c/15c**, which after the addition of Eu(FOD)₃ showed two well-separated CF₃-signals in a 1:1 ratio in the ¹⁹F-NMR spectrum.

With this easy analytical method in hand, we proceeded to thermal and Et₂AlCl-promoted cyclizations of the (–)-menthyl dienoates **8c** and **11c**. Disappointingly, little if any (≤18% d.e.) chiral induction at the newly formed centers was realized, as indicated in Table 1. The 1:3-ratio of *cis* (**12c/13c**) to *trans* (**14c/15c**) products follows from their chromatographic separation and from the ¹H-NMR-signals of the olefinic protons by assigning two singlets at δ = 4.75 and 5.17 ppm to the *cis*-products and a narrow signal at δ = 4.85–5.1 ppm to the *trans*-products.^{5,17} Moreover, the isolated *cis*-pair **12c/13c** shows in the ¹⁹F-NMR spectrum two signals

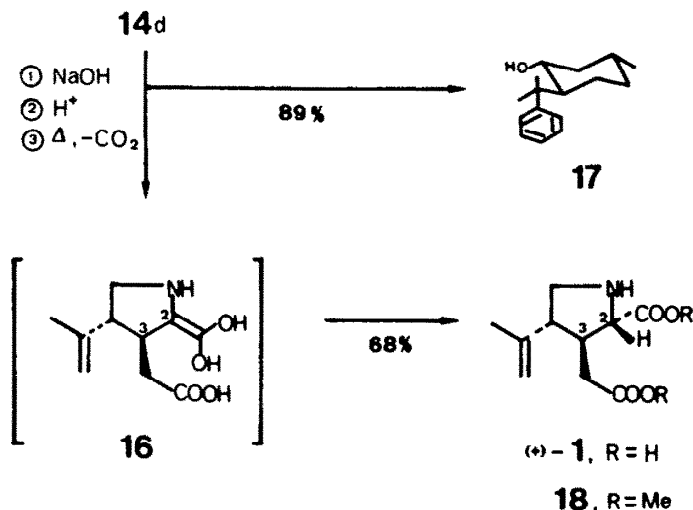
(≈1:1) different from those displayed by the *trans*-pair **14c/15c**.

Then, in view of the known asymmetric Diels–Alder additions of 8-phenylmenthyl acrylates,¹⁸ we envisaged the ene-type-I cyclization of the dienes **8d** and **11d**. Esters **6d** and **9d** were efficiently obtained by treatment of the *cis*- and *trans*- β -chloroacryloylchlorides with (–)-phenylmenthol¹⁸ and AgCN.¹⁹ Subsequent conjugate addition/elimination followed by alkylation furnished the (*Z*)-diene **8d** in 55% overall yield from **6d** and the (*E*)-diene **8d** in 62% overall yield from **9d**. Thermal cyclizations of the 1,6-dienes **8d** and **11d** proceeded again with moderate diastereochemical control and without enantioselectivity, as evident from Table 2. The product ratios were easily determined by integration of the ¹H-NMR (360 MHz)—singlets of the olefinic protons; those corresponding to **12d/13d** appear at δ 4.66, 4.68, 5.00 and 5.09 ppm and exhibit 20% of the intensity of the singlets at 4.83, 4.90, 4.98 and 5.013 ppm, which correspond to **14d/15d**. The assignment of the **14d/15d**-signals was confirmed by ¹H-NMR-measurement of a pure 1:1-mixture of **14d/15d**, prepared by esterification of the racemic monoacid **14e/15e** with (–)-8-phenylmenthol. (Additional characteristic signals of **14d** and **15d** are listed in the Experimental.)

On the other hand, we were pleased to find that treatment of the (*Z*)-phenylmenthylester **8d** with 3 eq. of dimethylaluminium chloride²⁰ in dry CH₂Cl₂ at

Table 2. Cyclizations of the (*Z*)- and (*E*)-Phenylmenthyl dienoates **8d** and **11d**

Diene	Cyclization conditions	Product ratios	
		$\frac{12d}{13d} / \frac{14d}{15d}$	14d/15d
<u>8d</u>	70°/80 h	20 : 80	50 : 50
<u>8d</u>	180°/15 min	20 : 80	50 : 50
<u>8d</u>	AlMe ₂ Cl/-35°	0 : 100	95 : 5
<u>11d</u>	180°/15 min	50 : 50	50 : 50
<u>11d</u>	AlMe ₂ Cl/-35°	<5 : >95	11 : 89



Scheme 5.

-35° for 18 hr furnished the isomers **14d** and **15d** (60% yield) in a ratio of 95:5. As expected, the opposite sense of induction prevailed on Me_2AlCl -mediated cyclization of the (*E*)-phenylmenthylester **11d** which gave the *trans*-isomers **14d** and **15d** (81% yield) in a ratio of 11:89.

Conversion of the cyclization products 14d and 15d to (+)- α -allokainic acid and to (-)- α -allokainic acid

So far the analytical results (Table 2) permitted us to determine only the extent of asymmetric induction but not its absolute sense. This was, however, readily proven by conversion of the 95:5-mixture **14d/15d** (obtained from **8d**) to (+)- α -allokainic acid, carried out in the following manner (Scheme 5). Alkaline saponification furnished on extraction unchanged (-)-8-phenylmenthol (89%). Successive decarboxylation of the malonic acid *in situ* at $\text{pH} = 6$ to 3 and precipitation of the copper salt of (+)-**1** with aq. H_2S provided the enantiomerically pure (+)- α -allokainic acid (68% yield from 95% pure **14d**), identified by comparison with (+)-**1** of natural origin (m.p., chiroptic properties, IR, $^1\text{H-NMR}$ and MS). Moreover, the synthetic and natural amino-acids were shown to be identical and enantiomerically pure by comparing the $^1\text{H-NMR}$ spectra of the dimethylester **18** derived from natural and synthetic (+)-**1**, using a chiral shift reagent ($\text{Eu}(\text{TFC})_3$). In close analogy, the 11:89-mixture **14d/15d** (obtained from **11d**) was converted to (-)- α -allokainic acid (62% overall yield) which exhibits $^1\text{H-NMR}$ (360 MHz) and mass spectra identical to those of (+)-**1**; its absolute configuration and enantiomeric purity were determined by $^1\text{H-NMR}$ -comparison of its dimethylester with **18** and the corresponding racemic ester in the presence of $\text{Eu}(\text{TFC})_3$.

The observed induction of center C-2 in the final decarboxylation step merits further comment. Presumably this control derives from internal C-protonation of intermediate **16** by the acetic acid chain; its extent was checked by successive treatment of the soluble Cu-salts with H_2S and ion exchange resin which yielded a 1:2.5-mixture of **1** and a stereoisomer, tentatively assigned as the C-2 epimer

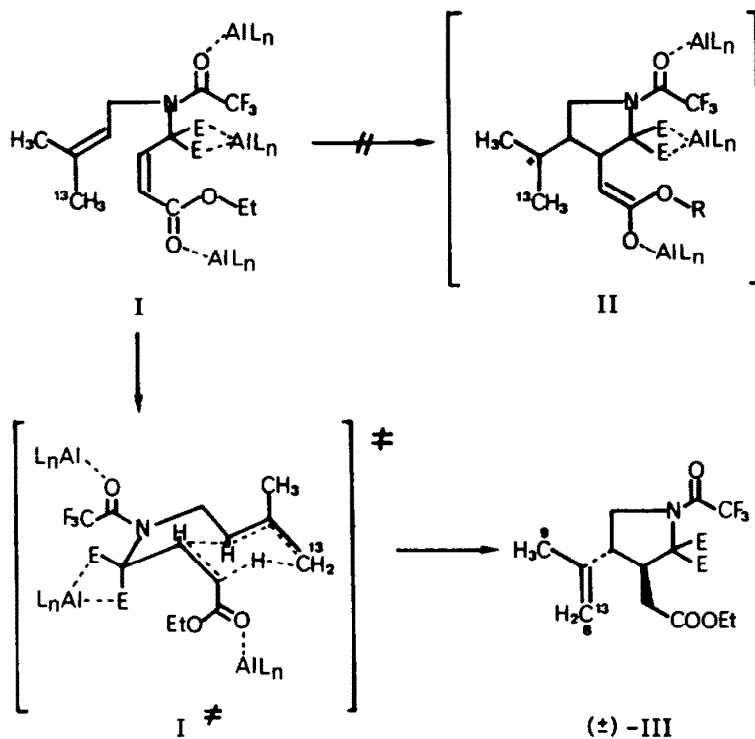
(β -allokainic acid).²¹ This indicates the induction at C-2 to be significant but not quantitative.

Discussion of the asymmetric ene-reactions 8d \rightarrow 14d and 11d \rightarrow 15d

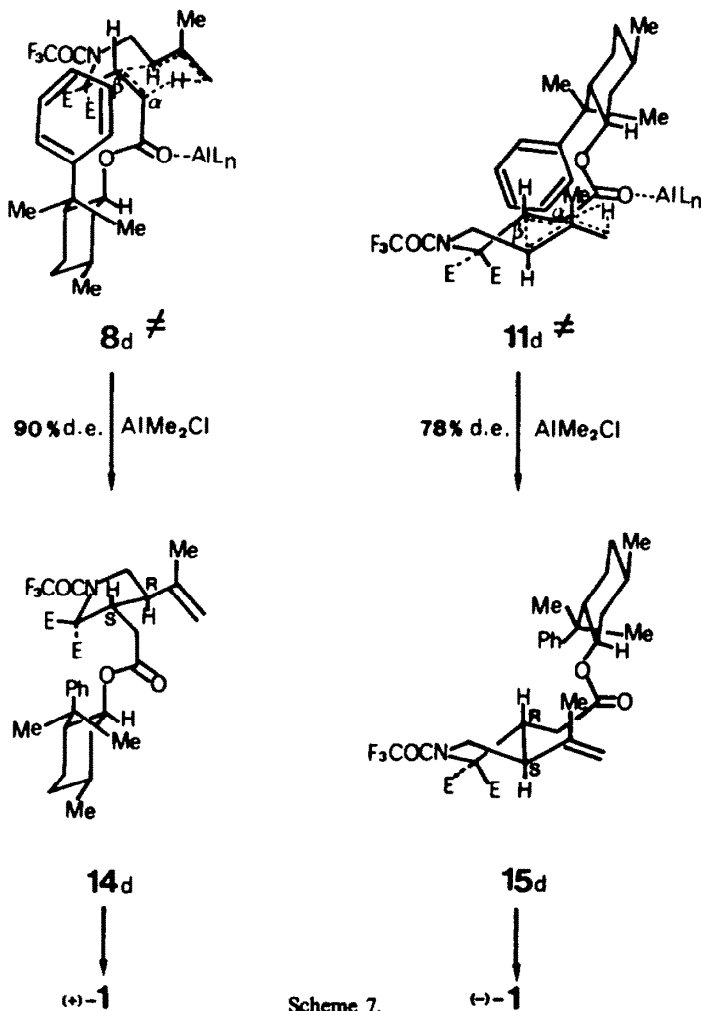
First the question arose if the Me_2AlCl -promoted cyclization **8d** \rightarrow **14d** is in fact a concerted ene-reaction or rather proceeds via a cationic intermediate. We believe that mechanistic studies of the related cyclization **8a** \rightarrow **14a/15a** (Scheme 6) may be relevant in this context. For geometrical reasons, hydrogen should be transferred exclusively from the *trans*-positioned allylic methyl in a concerted reaction.¹³ Indeed, Et_2AlCl -induced cyclization of 1,6-dienoate **I** carrying a ^{13}C -labelled *trans*-Me furnished (\pm)-**III** which contains all of the ^{13}C in the methylene group.²² In contrast, the intermediacy of cation **II** would have caused scrambling of ^{13}C at positions 8 and 9 in the cyclization product. We thus propose the operation of a transition state **I** where coordination of AlR_2Cl with the conjugated ester carbonyl is mainly responsible for the acceleration of the ene process by lowering the enophile LUMO energy.²³

Assuming a concerted mechanism, then, the remarkable asymmetric induction (90% d.e.) during the cyclization **8d** \rightarrow **14d** may be rationalized by a transition state geometry **8d*** (Scheme 7);²⁴ in this arrangement the enoate CO group is antiplanar with the $\text{C}_\alpha = \text{C}_\beta$ and synplanar with the alkoxy-C,H-bond²⁵ and the phenyl ring shields the C_β -*si* face of the enophile. In support of such a conformation, the phenylmenthylester **8d** shows, even in the absence of Me_2AlCl , the $^1\text{H-NMR}$ signal of H-C $_\alpha$ shifted up-field by 1.01 and 1.04 ppm compared to the ethyl- and menthyl enoates **8a** and **8c**. Accordingly, the ene addition is directed preferentially to the C_β -*re* face. Similar stereochemical analysis of the Lewis-acid-induced cyclization of the (*E*)-phenylmenthylester **11d** (transition state **11d***) predicted shielding of the C_β -*re* face and consequently, predominant ene attack from the C_β -*si* face as confirmed experimentally.

In summary, the cyclizations **8d** \rightarrow **14d** and **11d** \rightarrow **15d** are the first examples of ene reactions which proceed with high asymmetric induction and



Scheme 6.



Scheme 7.

permit regeneration of the chirality directing group. The possibilities to manipulate the absolute sense of induction by variation of the enoate geometry as well as of the chiral auxiliary group²⁶ may stimulate further applications in synthesis.²⁷

EXPERIMENTAL

General. All reactions were carried out under argon with magnetic stirring. Solvents were dried by distillation from drying agents, as follows: diethylether (Et₂O, KH), tetrahydrofuran (THF, K-metal), hexamethylphosphoramide (HMPA, CaH₂), *t*-BuOH (Na-metal), CH₂Cl₂ (CH₂Cl₂, P₂O₅). "Work-up" denotes washing of the organic phase with sat. NaCl aq, drying with solid MgSO₄, and removal of solvent by distillation *in vacuo* using a rotatory evaporator. Column chromatography was carried out on SiO₂ (Merck, Kieselgel 60, 0.063–0.2). For medium pressure chromatography, SiO₂ (Woelm ICN, 0.032–0.063 mm) and a FMI RP-pump were used. M.p.s were determined on a Kofler hot stage and are uncorrected; temps are expressed in degrees Celsius. UV spectra: λ_{\max} in nm (log ϵ). IR absorptions are reported as $\tilde{\nu}_{\max}$ in cm⁻¹. ¹H-NMR spectra were recorded in CDCl₃ soln at 100 MHz, unless otherwise specified, with an internal standard of TMS δ (ppm) = 0; abbreviations used are: *s* = singlet, *d* = doublet, *t* = triplet, *qa* = quadruplet, *m* = multiplet, *J* = spin-spin coupling constant (Hz). Mass spectral data (MS) are given as *m/z* (rel%₀). Optical rotations, $[\alpha]$, were measured at 25°, unless otherwise specified, using a Perkin-Elmer 241 automatic polarimeter (concentration *C*, solvent).

Preparation of the (Z)- and (E)-chloroacrylates 6 and 9

2-Trimethylsilylethyl (Z)-3-chloroacrylate (6b). A soln of *N,N*-dicyclohexyl carbodiimide (5.32 g, 26 mmol) in CH₂Cl₂ (3 ml) was added dropwise to a stirred mixture of (Z)-3-chloroacrylic acid¹⁴ (2.50 g, 23.5 mmol), 2-trimethylsilylethanol (3.49 g, 29.6 mmol) and 4-dimethylaminopyridine (200 mg, 1.64 mmol) in CH₂Cl₂ (30 ml) at 0°. Stirring of the mixture at r.t. for 3 hr, filtration, evaporation and distillation of the residue at 101°/25 torr furnished ester **6b** (oil, 4.34 g, 89%). IR: 3065, 2960, 1725, 1620, 1352, 1285, 1231, 1170, 1065, 948, 867, 845. ¹H-NMR: 0.0 (*s*, 9H); 0.9–1.3 (2H); 4.15–4.4 (2H); 6.16 (*d*, *J* = 3, 1H); 6.67 (*d*, *J* = 8, 1H). MS: 207 (0.3, C₈H₁₅⁺, ³⁷ClO₂Si⁺), 205 (1, C₈H₁₅⁺, ³⁵ClO₂Si⁺), 171(37), 163(67), 93(61), 75(98), 73(100).

(-)-Menthyl (Z)-3-chloroacrylate (6c). A soln of (Z)-3-chloroacrylic acid (3 g, 28.2 mmol), (-)-menthol (7.65 g, 49.2 mmol) and *p*-toluenesulfonic acid (450 mg) in benzene (50 ml) was heated under reflux for 4 days using a Dean-Stark water separator. Evaporation of the solvent, shaking of the residue with ether sat NaHCO₃ aq, work-up, chromatography (ether–hexane 2:1) and crystallization (pentane) furnished ester **6c** (6.75 g, 97%), m.p. 62.5–63.5°. IR (CCl₄): 2980, 2870, 1732, 1628, 1465, 1220, 1170, 988. ¹H-NMR: 0.7–2.2 (18H); 4.8 (*d* × *t*, *J* = 4.5 and 11, 1H); 6.19 (*d*, *J* = 8, 1H); 6.70 (*d*, *J* = 8, 1H). MS: C₁₃H₂₁ClO₂⁺ not visible, 138(100), 123(31), 109(9), 95(80), 89(57), 81(57). $[\alpha]_D = -96.8^\circ$, $[\alpha]_{578} = -101.4^\circ$, $[\alpha]_{546} = -114.6^\circ$, $[\alpha]_{436} = -194.3^\circ$, $[\alpha]_{365} = -303.8^\circ$ (*c* = 2.5, CHCl₃).

(+)-8-Phenylmenthyl (Z)-3-chloroacrylate 6d. AgCN (83 mg, 0.6 mmol) was added to a soln of (Z)-3-chloroacryloyl chloride¹⁴ (80 mg, 0.64 mmol) and (-)-8-phenylmenthol¹⁸ (100 mg, 0.43 mmol) in benzene (2 ml). The mixture was refluxed for 5 hr, then filtered through Celite. Evaporation of the filtrate and chromatography of the residue (toluene) provided ester **6d** (oil, 123 mg, 89%). IR (CCl₄): 2978, 1728, 1622, 1221, 1170, 1130, 990. ¹H-NMR: 0.87 (*d*, *J* = 6.5, 3H); 0.9–2.2 (8H); 1.22 (*s*, 3H); 1.31 (*s*, 3H); 4.9 (*d* × *t*, *J* = 4.5 and 11, 1H); 5.41 (*d*, *J* = 8, 1H); 6.46 (*d*, *J* = 8, 1H); 7.0–7.4 (5H). MS: 322 (0.3, C₁₉H₂₅⁺, ³⁷ClO₂⁺), 320 (1, C₁₉H₂₅⁺, ³⁵ClO₂⁺), 215(9), 200(2), 144(4), 130(5), 120(10), 119(100), 118(22), 91(34), 89(33).

$[\alpha]_D = +5.3^\circ$, $[\alpha]_{578} = +6.6^\circ$, $[\alpha]_{546} = +10.6^\circ$, $[\alpha]_{436} = +51.4^\circ$, $[\alpha]_{365} = +165.0^\circ$ (*c* = 1.0, CHCl₃).

(-)-Menthyl (E)-3-chloroacrylate (9c). Following the procedure described for the preparation of ester **6c** acid-catalyzed esterification of (E)-3-chloroacrylic acid¹⁴ (3 g, 28.2 mmol) with (-)-menthol (7.69 g, 49.2 mmol) afforded ester **9c** (oil, 6.31 g, 91%). IR (CCl₄): 2980, 2875, 1722, 1613, 1301, 1265, 1162, 945, 868. ¹H-NMR: 0.78 (*d*, *J* = 6.5, 3H); 0.9–2.2 (9H); 0.91 (br. *d*, *J* = 7, 6H); 4.78 (*d* × *t*, *J* = 4.5 and 10.5, 1H); 6.26 (*d*, *J* = 14, 1H); 7.36 (*d*, *J* = 14, 1H). MS: C₁₃H₂₁ClO₂⁺ not visible, 138(100), 123(40), 95(98), 89(76), 81(78). $[\alpha]_D = -75.0^\circ$, $[\alpha]_{578} = -78.3^\circ$, $[\alpha]_{546} = -88.8^\circ$, $[\alpha]_{436} = -148.5^\circ$, $[\alpha]_{365} = -224.5^\circ$ (*c* = 10, EtOH).

(-)-8-Phenylmenthyl-(E)-3-chloroacrylate (9d). AgCN (800 mg, 6 mmol) was added to a soln of (E)-3-chloroacryloyl chloride (1.08 g, 8.6 mmol) and (-)-8-phenylmenthol (1.0 g, 4.3 mmol) in benzene (25 ml). Refluxing of the mixture for 5 hr, filtration through Celite, evaporation of the filtrate and chromatography (toluene) furnished ester **9d** (oil, 1.086 g, 79%). IR (CCl₄): 2982, 1720, 1615, 1270, 1165, 942. ¹H-NMR (360 MHz): 0.90 (*d*, *J* = 6.5, 3H); 0.95 (*m*, 1H); 1.2 (*m*, 1H); 1.21 (*s*, 3H); 1.30 (*s*, 3H); 1.45–1.6 (2H); 1.72 (*m*, 1H); 1.8–1.95 (2H); 2.13 (*m*, 1H); 4.85 (*d* × *t*, *J* = 4.5 and 11, 1H); 5.65 (*d*, *J* = 15, 1H); 6.49 (*d*, *J* = 15, 1H); 7.1–7.35 (5H). MS: C₁₉H₂₅ClO₂⁺ not visible, 215(2), 214(5), 199(2), 149(2), 119(100), 91(16). $[\alpha]_D = -10.8^\circ$, $[\alpha]_{578} = -12.0^\circ$, $[\alpha]_{546} = -12.3^\circ$, $[\alpha]_{436} = -7.1$, $[\alpha]_{365} = +25.0^\circ$ (*c* = 2.2, CHCl₃).

General procedure for the substitution of 3-chloroacrylates with diethyltrifluoroacetamidomalonic acid (6 → 7) and (9 → 10)

0.33 N *t*-BuOK in *t*-BuOH was added dropwise to a 2 N soln of diethyl trifluoroacetamidomalonic acid¹⁵ (1 equiv) in *t*-BuOH at r.t. After 10 min, addition of the corresponding 9-chloroacrylate **6** or **9**, (1.0–1.1 equiv), subsequent stirring of the mixture at r.t. for 16 hr, acidification with AcOH, evaporation, shaking of the residue with ether–H₂O and work-up gave the corresponding diethoxycarbonyl-4-trifluoroacetamido-2-butenate (**7** or **10**).

2-Trimethylsilylethyl (Z)-4,4-diethoxycarbonyl-4-trifluoroacetamido-2-butenate 7b. Following the general procedure, conversion of **6b** (2.19 g, 10.6 mmol) to **7b**, subsequent chromatography (toluene/EtOAc 19:1) and bulb-to-bulb distillation at 150° (bath)/30 Torr gave unchanged chloroacrylate **6b** (1.17 g) and pure **7b** (oil, 2.17 g, 47% or 99% based on recovered **6b**), IR (CH₂Cl₂): 3400, 3060, 2990, 1758, 1735, 1525, 1285, 1220, 1175, 1045, 865. ¹H-NMR: 0.02 (*s*, 9H); 0.85–1.1 (2H); 1.25 (*t*, *J* = 7, 6H); 4.1–4.5 (6H); 6.04 (*d*, *J* = 12, 1H); 7.24 (*d*, *J* = 12, 1H); 8.26 (br. *s*, 1H). MS: C₁₇H₂₆F₃NO₂Si⁺ not visible, 426(12), 398(31), 368(24), 324(15), 322(14), 251(16), 205(20), 171(34), 73(100).

(-)-Menthyl(Z)-4,4-diethoxycarbonyl-4-trifluoroacetamido-2-butenate (7c). Following the general procedure conversion of **6c** (2.99 g, 12.2 mmol) to **7c** and subsequent chromatography (ether/hexane 1:4) afforded unchanged **6c** (680 mg) and pure **7c** (3.66 g, oil, 63% or 82% based on recovered **6c**), UV (hexane), 209.5 (4.05). IR (CH₂Cl₂): 3400, 2960, 2870, 1760, 1740, 1215, 1175. ¹H-NMR: 0.72 (*d*, *J* = 7, 3H), 0.8–2.1 (8H); 0.90 (*d*, *J* = 7, 3H); 0.93 (*d*, *J* = 7, 3H); 1.26 (*t*, *J* = 7, 6H); 4.1–4.5 (4H); 4.74 (*d* × *t*, *J* = 4.5 and 10.5, 1H); 6.04 (*d*, *J* = 12, 1H); 7.27 (*d*, *J* = 12, 1H); 8.3 (br. *s*, 1H). MS: 478 (4, C₂₂H₃₁F₃NO₂⁺), 405(6), 342(17), 269(15), 251(15), 223(30), 205(19), 139(53), 138(100). $[\alpha]_D = -41.9^\circ$, $[\alpha]_{578} = -45.3^\circ$, $[\alpha]_{546} = -49.7^\circ$, $[\alpha]_{436} = -84.9^\circ$, $[\alpha]_{365} = -135.3^\circ$ (*c* = 2.75, CHCl₃).

(+)-Phenylmenthyl (Z)-4,4-diethoxycarbonyl-4-trifluoroacetamido-2-butenate 7d. Following the general procedure, conversion of **6d** (3.52 g, 11 mmol) to **7d** and subsequent chromatography (toluene, then toluene, EtOAc 19:1) provided unchanged **6d** (1.48 g) and pure **7d** (oil, 3.89 g, 64% or 92% based on recovered **6d**), IR (CCl₄): 3400, 2960, 1762, 1735, 1710, 1405, 1212, 1175, 820, 200. ¹H-NMR: 0.88 (*d*, *J* = 7, 3H); 0.9–2.2 (20H); 4.1–4.5 (4H);

4.84 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.20 (d , $J = 12$, 1H); 7.08 (d , $J = 12$, 1H); 7.1–7.4 (5H); 8.3 (br. s, 1H). MS: 555 (4, $C_{28}H_{36}F_3NO_7^+$), 437(10), 342(19), 324(26), 296(5), 214(24), 119(100), 118(35), 105(13), 91(15). $[\alpha]_D = +15.2^\circ$, $[\alpha]_{578} = +16.4^\circ$, $[\alpha]_{546} = +20.2^\circ$, $[\alpha]_{436} = +52.4^\circ$, $[\alpha]_{365} = +130.4^\circ$ ($c = 1.5$ CHCl₃).

(-) - *Menthyl* (E) - 4,4 - diethoxycarbonyl - 4 - trifluoroacetamido - 2 - butenoate (10c). Following the general procedure conversion of 9c (2.0 g, 8.20 mmol) to 10c and subsequent chromatography (hexane-ether 4:1) furnished unchanged 9c (840 mg) and after crystallization (pentane-ether) pure 10c (1.75 g, 45% or 77% based on recovered 9c) m.p. 49–50°. UV (hexane): 202 (4.11). IR (CH₂Cl₂): 3395, 2960, 2870, 1750, 1725, 1520, 1370, 1270, 1240, 1175, 1095, 1010. ¹H-NMR: 0.78 (d , $J = 7$, 3H); 0.8–2.2 (8H); 0.90 (d , $J = 7$, 3H); 0.93 (d , $J = 6$, 3H); 1.31 (t , $J = 7$, 6H); 4.1–4.6 (4H); 4.79 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.90 (d , $J = 16$, 1H), 7.44 (d , $J = 16$, 1H); 7.80 (br. s, 1H). MS: 478 (3, $C_{22}H_{31}F_3NO_7^+$), 406(14), 366(14), 342(16), 269(16), 223(34), 205(23), 139(18), 138(100). $[\alpha]_D = -41.5^\circ$, $[\alpha]_{578} = -42.4^\circ$, $[\alpha]_{546} = -47.7^\circ$, $[\alpha]_{436} = -80.3^\circ$, $[\alpha]_{365} = -123.3^\circ$ ($c = 1.0$, CHCl₃).

(+) - *Phenylmenthyl* (E) - 4,4 - diethoxycarbonyl - 4 - trifluoroacetamido - 2 - butenoate (10d). Following the general procedure conversion of 9d (336 mg, 1.1 mmol) to 10d, and subsequent chromatography (toluene-EtOAc 39:1 then 19:1) provided unchanged 9d (147 mg) and after crystallization (pentane) pure 10d (250 mg, 41% or 76% based on recovered 9d), m.p. 113–115°. IR (KBr): 3480, 2970, 2920, 1760, 1720, 1650, 1540, 1375, 1300, 1262, 1230, 1170, 1093, 1005, 975, 898, 855, 770, 730, 705, 640, 605. ¹H-NMR (360 MHz): 0.89 (d , $J = 7$, 3H); 0.9–1.6 (16H); 1.67 (m , 1H); 1.76 (m , 1H); 1.90 (m , 1H); 2.06 (m , 1H); 4.2–4.46 (4H), 4.92 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.14 (d , $J = 15.5$, 1H); 7.05–7.35 (6H); 7.68 (s , 1H). MS: 555 (3, $C_{28}H_{36}F_3NO_7^+$), 436(9), 342(4), 324(7), 296(3), 214(56), 119(100), 118(67), 105(10), 91(10). $[\alpha]_D = +0.5^\circ$, $[\alpha]_{578} = +1.2^\circ$, $[\alpha]_{546} = +3.2^\circ$, $[\alpha]_{365} = +69.6^\circ$ ($c = 1.25$, CHCl₃).

General procedure for the N-alkylation of 4,4 - diethoxycarbonyl - 4 - trifluoroacetamido - 2 - butenoates with 1 - bromo - 3 - methyl - 2 - butene (7→8) and (10→11). NaH (2.0 equiv. 50% dispersion in mineral oil) was added portionwise at 0° to a solution of the corresponding amide 7 or 10 and 1-bromo-3-methyl-2-butene (2.0 equiv.) in HMPA (1 ml/mmol of 7 or 10). After 20 hr at r.t. the mixture was poured into 10% aq. citric acid, extracted with ether and subjected to work-up yielding the corresponding diene 8 or 11.

2 - *Trimethylsilyl*ethyl (Z) - 4,4 - diethoxycarbonyl - 4 - [N - (3 - methyl - 2 - butenyl)trifluoroacetamido] - 2 - butenoate (8b). Following the general procedure, alkylation of 7b (1.0 g, 2.26 mmol) and subsequent medium-pressure chromatography (ether-hexane 1:4) afforded unchanged 7b (250 mg) and the pure diene 8b (654 mg, 57 or 76% based on recovered 7b), IR (CH₂Cl₂): 2990, 1760, 1705, 1460, 1290, 1210, 1150, 1060, 865, 785. ¹H-NMR: 0.03 (s , 9H); 0.8–1.05 (2H); 1.26 (t , $J = 7$, 6H); 1.52 (br. s, 3H); 1.70 (d , $J = 2$, 3H); 4.0–4.5 (8H); 5.25 (m , 1H), 6.10 (d , $J = 12$, 1H); 6.34 (d , $J = 12$, 1H). MS: $C_{27}H_{34}F_3NO_7Si^+$ not visible, 398(1), 323(2), 256(2), 229(8), 184(30), 180(100), 156(29), 138(9).

(-) - *Menthyl* (Z) - 4,4 - diethoxycarbonyl - 4 - [N - (3 - methyl - 2 - butenyl)trifluoroacetamido] - 2 - butenoate (8c). Following the general procedure alkylation of 7c (200 mg, 0.42 mmol) and subsequent chromatography furnished unchanged 7c (24 mg) and the pure diene 8c (oil, 120 mg, 52% or 61% based on recovered 7c), IR (CCl₄): 2960, 2885, 1750, 1715, 1292, 1208, 1150, 1100, 820. ¹H-NMR: 0.76 (d , $J = 7$, 3H); 0.8–2.2 (9H); 0.90 (d , $J = 7$, 3H); 0.92 (d , $J = 6$, 3H); 1.26 (t , $J = 7$, 6H); 1.50 (br. s, 3H); 1.70 (m , 3H); 4.05–5.5 (6H); 4.72 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.28 (m , 1H); 6.13 (d , $J = 12.5$, 1H); 6.37 (d , $J = 12.5$, 1H). MS: 547 (0.8, $C_{27}H_{34}F_3NO_7^+$), 408(47), 367(11), 335(20), 289(20), 240(22), 229(100), 183(44), 179(60), 137(20). $[\alpha]_D = -35.3^\circ$,

$[\alpha]_{578} = -37.0^\circ$, $[\alpha]_{546} = -41.8^\circ$, $[\alpha]_{436} = -72.5$, $[\alpha]_{365} = -114.9$ ($c = 1.25$, CHCl₃).

(+) - 8 - *Phenylmenthyl* (Z) - 4,4 - diethoxycarbonyl - 4 - [N - (3 - methyl - 2 - butenyl)trifluoroacetamido] - 2 - butenoate (8d). Following the general procedure, alkylation of 7d (3.6 g, 6.5 mmol) and subsequent chromatography (toluene, then toluene-EtOAc 39:1) yielded unchanged 7d (2.13 g) and the diene 8d (oil, 965 mg, 24% or 60% based on recovered 7d), IR (CCl₄): 2987, 2965, 2885, 1750, 1715, 1456, 1207, 1145. ¹H-NMR (360 MHz): 0.89 (d , $J = 7$, 3H); 0.9–1.2 (2H); 1.2–1.4 (12H); 1.48 (m , 1H); 1.55–1.8 (3H); 1.56 (s , 3H); 1.73 (s , 3H); 1.94 (m , 1H); 2.06 (m , 1H); 4.1–4.45 (6H); 4.80 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.26 (m , 1H); 5.31 (d , $J = 12$, 1H); 6.18 (d , $J = 12$, 1H); 7.1–7.35 (5H). MS: 623 (12, $C_{33}H_{44}F_3NO_7^+$), 505(12), 409(100), 364(12), 336(27), 318(21), 290(25), 241(29), 230(43), 214(65), 180(42). $[\alpha]_D = +9.1^\circ$, $[\alpha]_{578} = +10.4^\circ$, $[\alpha]_{546} = +13.0^\circ$, $[\alpha]_{436} = +32.8^\circ$, $[\alpha]_{365} = +77.9^\circ$ ($c = 1.4$, CHCl₃).

(-) - *Menthyl* (E) - 4,4 - diethoxycarbonyl - 4 - [N - (3 - methyl - 2 - butenyl)trifluoroacetamido] - 2 - butenoate (11c). Following the general procedure alkylation of 10c (900 mg, 1.88 mmol) and subsequent chromatography (hexane-ether 4:1) furnished the pure diene 11c (oil, 745 mg, 73%), IR (CCl₄): 2960, 2885, 1750, 1714, 1460, 1210, 1148, 1047. ¹H-NMR: 0.78 (d , $J = 7$, 3H); 0.8–2.2 (9H); 0.90 (d , $J = 7$, 3H); 0.93 (d , $J = 6$, 3H); 1.30 (t , $J = 7$, 6H); 1.58 (br. s, 3H); 1.74 (m , 3H); 4.1–4.5 (6H); 4.80 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.20 (m , 1H); 6.26 (d , $J = 16$, 1H), 7.13 (d , $J = 16$, 1H). MS: 548 (6, $C_{27}H_{34}F_3NO_7^+$), 409(100), 391(21), 363(33), 336(57), 318(27), 317(30), 290(79), 230(60), 139(39), 138(36). $[\alpha]_D = -36.5^\circ$, $[\alpha]_{578} = -37.7^\circ$, $[\alpha]_{546} = -42.6^\circ$, $[\alpha]_{436} = -71.9^\circ$, $[\alpha]_{365} = -108.9^\circ$ ($c = 2.3$, CHCl₃).

(-) - 8 - *Phenylmenthyl* (E) - 4,4 - diethoxycarbonyl - 4 - [N - (3 - methyl - 2 - butenyl)trifluoroacetamido] - 2 - butenoate (11d). Following the general procedure alkylation of 10d (850 mg, 1.53 mmol) and subsequent chromatography (hexane/ether 4:1) afforded the pure diene 11d (oil, 788 mg, 82%), IR (CCl₄): 2989, 2964, 1766, 1749, 1714, 1446, 1300, 1214, 1150, 1052, 909. ¹H-NMR (360 MHz): 0.89 (d , $J = 7$, 3H); 1.0 (m , 1H); 1.12 (m , 1H); 1.2–1.4 (12H); 1.4–1.85 (10H); 1.90 (m , 1H); 2.05 (m , 1H); 4.06–4.35 (6H); 4.88 ($d \times t$, $J = 4.5$ and 10.5 , 1H); 5.18 (m , 1H); 5.64 (d , $J = 16$, 1H); 6.98 (d , $J = 16$, 1H); 7.1–7.35 (5H). MS: 623 (10, $C_{33}H_{44}F_3NO_7^+$), 503(10), 410(76), 409(26), 336(17), 318(12), 290(16), 214(38), 119(100). $[\alpha]_D = -2.2^\circ$, $[\alpha]_{578} = -2.5^\circ$, $[\alpha]_{546} = -2.3^\circ$, $[\alpha]_{436} = -4.2^\circ$, $[\alpha]_{365} = +28.6^\circ$.

Preparation and esterification of the racemic acid 14e/15e and NMR-analysis of the diastereoisomer pairs 14c/15c and 14d/15d

2 - *Trimethylsilyl* (\pm) - trans - (2,2 - diethoxycarbonyl - 4 - isopropenyl - 1 - trifluoroacetylpyrrolidin - 3 - yl)acetate (14b/15b). A soln of diethylaluminum chloride (3 ml, 23.5 mmol) in CH₂Cl₂ (18 ml) was added over 30 min to a soln of 8b (400 mg, 0.78 mmol) in CH₂Cl₂ (5 ml) at -78°. Keeping the mixture at -78° for 1 hr, addition of water at -78°, shaking with water-CH₂Cl₂ and work-up provided the cyclized ester 14b/15b (oil, 361 mg, 90%). IR (CCl₄): 2990, 1745, 1718, 1449, 1245, 1150, 1070. ¹H-NMR: 0.01 (s , 9H); 0.8–1.1 (2H); 1.15–1.5 (6H); 1.76 (s , 3H); 2.2–3.3 (4H); 3.67 (t , $J = 10.5$, 1H); 3.9–4.5 (7H); 4.9–5.1 (2H). MS: $C_{27}H_{34}F_3NO_7Si^+$ not visible, 480(30), 465(28), 407(22), 361(39), 333(35), 283(78), 281(52), 279(43), 154(100).

(\pm) - trans - (2,2 - Diethoxycarbonyl - 4 - isopropenyl - 1 - trifluoroacetylpyrrolidin - 3 - yl)acetic acid (14e/15e). Tetrabutyl-ammonium fluoride (315 mg, 1 mmol) was added to a soln of 14b/15b (300 mg, 0.59 mmol) in THF (6 ml). Stirring the mixture at r.t. for 16 hr, evaporation, shaking of the residue with CH₂Cl₂/5% aq. citric acid and work-up furnished the racemic acid 14e/15e (oil, 240 mg, ~100%), IR (CCl₄): 2990, 1720, 1445, 1260, 1150, 1065. ¹H-NMR: 1.30 (t , $J = 7$, 3H); 1.33 (t , $J = 7$, 3H); 1.77 (s , 3H); 2.3–3.5 (5H); 3.68 (t , $J = 10.5$, 1H); 3.9–4.5 (4H); 5.04 (br. s, 2H);

8.23 (br s, 1H). MS: 409 (19, C₁₇H₂₂F₃NO₇⁺), 334(34), 289(25), 288(100), 261(28).

Menthyl trans - (2,2 - diethoxycarbonyl - 4 - isopropenyl - 1 - trifluoroacetylpyrrolidin - 3 - yl) acetates; 1:1-mixture **14c/15c**. Dicyclohexylcarbodiimide (90 mg, 0.44 mmol) was added portionwise to a mixture of the racemic acid **14e/15e** (150 mg, 0.36 mmol), (-)-menthol (117 mg, 0.75 mmol), 4-dimethylaminopyridine (25 mg, 0.2 mmol) and CH₂Cl₂ (6 ml) at r.t. Stirring the mixture at r.t. for 16 hr, filtration, evaporation of the filtrate and chromatography (toluene-EtOAc 19:1) of the residue furnished the 1:1-mixture of **14c/15c** (solid, 169 mg, 85%), melting between 97–106°, IR (KBr): 2960, 1762, 1740, 1707, 1460, 1395, 1300, 1270, 1248, 1225, 1181, 1150, 1095, 1010, 905. ¹H-NMR: 0.73 (*d*, *J* = 7, 1.5H); 0.80 (*d*, *J* = 7, 1.5H); 0.8–2.5 (22H); 1.75 (*s*, 3H); 2.6–3.3 (3H); 3.69 (*t*, *J* = 10.5, 1H); 4.02 (*m*, 1H), 4.2–4.5 (4H); 4.70 (br *d* × *t*, *J* = 4.5 and 10.5, 1H), 4.85–5.1 (2H). ¹⁹F-NMR (94.1 MHz) shows 2 signals, intensity ratio 1:1 in the presence of Eu(FOD)₃. MS: 547 (2, C₂₇H₄₀F₃NO₇⁺), 409(35), 336(69), 318(28), 290(98), 231(40), 138(100).

8 - Phenylmenthyl trans - (2,2 - diethoxycarbonyl - 4 - isopropenyl - 1 - trifluoroacetylpyrrolidin - 3 - yl) acetates; 1:1-mixture **14d/15d**. Following the procedure described for the esterification **14e/15e**→**14c/15c**, acid **14e/15e** (50 mg, 0.12 mmol) was esterified with (-)-8-phenylmenthol to give a 1:1-mixture of **14d/15d** (oil, 48 mg, 63%), IR (CCl₄): 2980, 1740, 1450, 1392, 1245, 1150, 910. ¹H-NMR (360 MHz): 0.8–1.9 (19.5H); 0.87 (*d*, *J* = 7, 1.5H); 0.90 (*d*, *J* = 7, 1.5H); 1.69 (*s*, 1.5H); 1.79 (*s*, 1.5H); 1.9–2.1 (2H); 2.34 (*d* × *d*, *J* = 4.5 and 16, 0.5H); 2.68 (*m*, 0.5H); 2.80 (*m*, 0.5H); 2.9–3.1 (1H); 3.61 (*t*, *J* = 11, 0.5H); 3.65 (*t*, *J* = 11, 0.5H); 3.96 (br *t*, *J* = 9, 0.5H); 3.99 (br *t*, *J* = 9, 0.5H); 4.15–4.4 (4H); 4.7–4.83 (1H); 4.83 (*s*, 0.5H); 4.90 (*s*, 0.5H); 4.98 (*s*, 0.5H); 5.03 (*s*, 0.5H); 7.0–7.4 (5H). MS: 623 (13, C₃₃H₄₄F₃NO₇⁺), 502(31), 411(35), 337(8), 290(8), 214(16), 119(100).

Cyclizations of the (Z) and (E)-menthyl esters **8c** and **11c**

Thermal cyclization of 8c. (a) A soln of the (Z)-diene **8c** (320 mg, 0.59 mmol) in toluene (35 ml) was heated at 70° for 80 hr. Evaporation of the solvent furnished a crude mixture **12c/13c/14c/15c** (325 mg ≈ 100%). ¹H-NMR: 0.6–2.2 (27H), 2.2–3.3 (4H); 3.5–4.2 (2H); 4.2–4.5 (4H), 4.5–5.1 (3H). ¹⁹F-NMR (94.1 MHz): 89 ppm (*s*, 3F, ref. C₆D₆, δ = 0); addition of Eu(FOD)₃→2 singlets corresponding to the *cis*-pyrrolidines **12c/13c** (intensity ratio 46:54) and at lower field 2 singlets corresponding to the *trans*-pyrrolidines **14c/15c** (intensity ratio 41:59, identical chemical shift as signals of **14c/15c**, obtained by esterification of acid **14e/15e**). The observed signal ratio of *cis*-(**12c/13c**) to *trans*-(**14c/15c**) products is 24:76 (see Table 1), as confirmed below. Medium-pressure-chromatography (hexane-ether 3:1) of the crude ester mixture gave the *trans*-pyrrolidines **14c/15c** (126 mg, solid, m.p. 92–97°) showing ¹H-NMR and ¹⁹F-NMR (in the presence of Eu(FOD)₃) spectra almost identical to those of **14c/15c** obtained from the acid **14e/15e**. Further elution gave a mixture of **12c/13c/14c/15c** (116 mg) followed by the *cis*-pyrrolidines **12c/13c** (oil, 21 mg): ¹H-NMR: 0.74 (*d*, *J* = 7, 1.5H); 0.75 (*d*, *J* = 7, 1.5H); 0.8–3.1 (19H); 0.91 (*d*, *J* = 7, 6H); 1.76 (*s*, 3H); 3.3–4.5 (6H); 4.62 (*m*, 1H); 4.75 (*s*, 1H); 5.07 (*s*, 1H). ¹⁹F-NMR in the presence of Eu(FOD)₃ shows two singlets (ratio 1:1) at the same position as the ¹⁹F-NMR-signals of the crude cyclization-mixture, which have been assigned to **12c/13c**.

(b) Neat **8c** (45 mg) was heated at 180° for 15 min. ¹⁹F-NMR-analysis in the presence of Eu(FOD)₃ showed 4 singlets identical to that described above (Table 1).

AlEt₂Cl-Promoted cyclization of 8c. 7 ml (13.5 mmol) of a soln of diethylaluminum chloride (3.5 ml) in CH₂Cl₂ (12 ml) was added over 25 min to a soln of **8c** (292 mg, 0.53 mmol) in CH₂Cl₂ (5 ml) at -78°. After 1 hr at -78°, addition of water at -78°, shaking with water-CH₂Cl₂, work-up and rapid chromatography afforded pure *trans*-pyrrolidines **14c/15c** (240 mg, 85%, m.p. 98–100°) showing identical IR

(KBr), ¹H-NMR, ¹⁹F-NMR(Eu(FOD)₃), and mass spectra as a sample of **14c/15c** obtained from the acid **14e/15e**. No signals of the *cis*-products **12c/13c** are visible in the NMR-spectra.

Cyclizations of the 11c. (a) **11c** (50 mg) was heated in toluene (6 ml) at 70° for 48 hr. Evaporation of solvent gave a mixture **12c/13c/14c/15c** (50 mg). The *cis*- and *trans*-diastereoisomer pairs **12c/13c** and **14c/15c** were separated by chromatography and characterized by ¹⁹F-NMR (Eu(FOD)₃). (b) Neat **11c** (50 mg) was heated at 180° for 15 min to give the crude cyclization mixture (50 mg). (c) **11c** (30 mg (0.055 ml) was treated with AlEt₂Cl (1.65 mmol) at -78° as described above to give the cyclized products (26.5 mg, 88%). The crude reaction mixtures obtained by cyclization of **11c** were analyzed as described above (Table 1).

Cyclizations of the (Z) and (E)-8-Phenylmenthyl esters **8d** and **11d**

Thermal cyclization of 8d. A soln of **8d** (30 mg, 0.05 mmol) in toluene (3 ml) was heated at 70° for 80 hr and then evaporated to give a crude mixture **12d/13d/14d/15d** showing an ¹H NMR spectrum identical to that of the mixture obtained on heating neat **8d** at 180° for 15 min. The product ratios (Table 1) were determined by integration of the ¹H-NMR (360 MHz) signals of the olefinic protons; those corresponding to **12d/13d** appear at δ = 4.66 (*s*, 1H), 4.68 (*s*, 1H), 5.00 (*s*, 1H) and 5.09 (*s*, 1H) ppm and show 20% of the intensity of the signals at 4.83 (1H), 4.90 (1H), 4.98 (1H), 5.03 (1H) ppm which correspond to **14d/15d**.

AlMe₂Cl-Promoted cyclization of 8d. 2N dimethylaluminum chloride in hexane (Alfa, 1.2 ml, 2.4 mmol) was added dropwise to a soln of the (Z) diene **8d** (500 mg, 0.8 mmol) in CH₂Cl₂ (10 ml) at -78°. The mixture was kept at -35° for 18 hr, then quenched at -78° with sat Na₂SO₄ aq, diluted with CH₂Cl₂ and subjected to work-up giving a crude product showing no *cis*-pyrrolidines **12d/13d** to be present (¹H-NMR). Chromatography (toluene/EtOAc 100:1) gave a 95:5-mixture of the *trans*-pyrrolidines **14d/15d** (oil, 301 mg, 60%). IR (CCl₄): 2970, 2935, 1740, 1712, 1500, 1394, 1250, 1150, 1095, 1070, 1032, 910, 705. ¹H-NMR (360 MHz): 0.8–1.9 (19H); 0.87 (*d*, *J* = 7, 3H); 1.07 (*m*, 1H); 1.69 (*s*, 3H); 1.98 (br *t*, *J* = 11.5, 1H); 2.34 (*d* × *d*, *J* = 4.5 and 16, 1H); 2.80 (*m*, 1H); 2.97 (*m*, 1H); 3.61 (*t*, *J* = 11, 1H); 3.96 (br *t*, *J* = 9, 1H); 4.27 (*qa*, *J* = 7, 2H); 4.35 (*qa*, *J* = 7, 2H); 4.78 (*m*, 1H); 4.83 (*s*, 1H); 4.90 (*s*, 1H); 7.1 ≈ 7.4 (5H); the singlets corresponding to the olefinic protons of **15d** are visible at δ = 4.98 (0.05H) and 5.03 (0.05H). MS: C₃₃H₄₄F₃NO₇⁺ not visible, 504(3), 410(38), 364(5), 336(15), 318(18), 290(30), 262(8), 119(100); field desorption: 623 (C₃₃H₄₄F₃NO₇⁺).

Thermal cyclization of 11d. Neat diene **11d** (20 mg) was heated at 180° for 15 min. ¹H-NMR (360 MHz) of the crude mixture (20 mg) shows eight singlets of equal intensity at δ = 4.66, 4.68, 4.83, 4.90, 4.98, 5.00, 5.03 and 5.09 ppm indicating a 1:1:1:1-ratio of **12d/13d/14d/15d**.

AlMe₂Cl-Promoted cyclization of 11d. 2N AlMe₂Cl in hexane (2.25 ml, 4.48 mmol) was added dropwise to a soln of the (E)-diene **11d** (700 mg, 1.12 mmol) in CH₂Cl₂ (20 ml) at -35°. The mixture was kept at -35° for 20 min, then quenched with sat Na₂SO₄ aq, diluted with CH₂Cl₂ and subjected to work-up and chromatography (toluene-EtOAc 50:1) yielding unchanged **11d** (61 mg) and a 11:89-mixture of **14d/15d** (oil, 514 mg, 71% or 81% based on recovered **11d**). ¹H-NMR (360 MHz): 0.8–2.1 (19H); 0.90 (*d*, *J* = 7, 3H); 1.79 (*s*, 3H); 1.9–2.1 (3H); 2.68 (*m*, 1H); 3.01 (*m*, 1H); 3.66 (*t*, *J* = 11, 1H); 3.99 (br *t*, *J* = 9, 1H); 4.15–4.4 (4H); 4.78 (*m*, 1H); 4.89 (*s*, 1H); 5.03 (*s*, 1H); 7.05–7.4 (5H); the singlets corresponding to the olefinic protons of **14d** appear at δ = 4.83 (0.11H) and 4.90 (0.11H) ppm; further low-intensity signals of **14d** are visible at δ = 2.34 (*d* × *d*, *J* = 4.5 and 12) and 2.80 (*m*) ppm.

Preparation of (+) and (-)-α-alkokainic acid (1)

(+)-α-Alkokainic acid ((+)-1). The 95:5-mixture **14d/15d**

(299 mg, 0.48 mmol), obtained by Me_2AlCl -mediated cyclization of **8d**, was heated in 1N NaOH in EtOH-water 1:1 (8 ml) in a tightly stoppered alkali resistant flask at 100° for 20 hr. The soln was carefully evaporated *in vacuo* and then, after addition of water (5 ml), extracted with ether (3 \times). The ether extracts were washed with water (5 ml), sat NaCl aq, dried (Na_2SO_4) and evaporated to give (-)-8-phenylmenthol (99 mg, 89%). The aqueous phase was acidified with HCl aq to $p_{\text{H}} = 5$, the precipitated silicic acid filtered and washed with water. The combined aq. soln was then stirred in an open flask, immersed into a hot (110°) oil-bath for 15 min, then acidified to $p_{\text{H}} = 4.5$ and heated for further 15 min. The soln ($p_{\text{H}} = 5$) was acidified to $p_{\text{H}} = 4.0$, heated for 10 min and after acidification to $p_{\text{H}} = 3.0$, heated for another 20 min. The soln was brought to $p_{\text{H}} = 4.5$ (NaOH) and after addition of $\text{Cu}(\text{OAc})_2$ (240 mg) heated (bath, 110°) for 30 min, then set aside for 1 hr. The precipitated Cu-salt was washed successively with 2.5% aq HOAc (2 ml), hot water (3 \times 2 ml), then stirred under H_2S for 3 hr.

Filtration of the mixture through Celite, washing of the ppt with water and evaporation of the combined aq solns gave pure (+)-allokainic acid ((+)-**1**) as a solid residue (69 mg, 68%), m.p. (dec) 238–242° (crystallization from H_2O). IR (KBr): 3440, 3135, 2940, 1725, 1635, 1578, 1452, 1400, 1322, 1265, 1240, 1218, 1187, 1160, 1089, 1045, 1012, 918, 900, 870, 840, 660, 632, 595, 560, 530, 520, 502. $^1\text{H-NMR}$ (D_2O , 360 MHz): 1.68 (s, 3H); 2.53–2.94 (4H); 3.28 (t, $J = 11$ Hz, 1H); 3.50 ($d \times d$, $J = 7.5$ and 11, 1H); 3.90 (d , $J = 9$, 1H); 4.95 (s, 2H). MS: 213 (7, $\text{C}_{10}\text{H}_{15}\text{NO}_4^+$), 195(12), 168(100), 153(19), 136(12), 122(12), 108(15), 88(33), 80(26), 69(24). $[\alpha]_{\text{D}}^{23} = +7.0^\circ$, $[\alpha]_{\text{D}}^{25} = +7.4^\circ$, $[\alpha]_{\text{D}}^{36} = +8.7^\circ$, $[\alpha]_{\text{D}}^{35} = +19.4^\circ$, $[\alpha]_{\text{D}}^{35} = +40.0^\circ$ ($c = 0.7$, H_2O).

Recrystallized natural (+)- α -allokainic acid, m.p. 238–242° (dec), $[\alpha]_{\text{D}}^{23} = +7.4^\circ$, $[\alpha]_{\text{D}}^{25} = +8.1^\circ$, $[\alpha]_{\text{D}}^{36} = +9.6^\circ$, $[\alpha]_{\text{D}}^{35} = +20.5^\circ$, $[\alpha]_{\text{D}}^{35} = +42.0^\circ$ ($c = 0.7$, H_2O) showed IR, $^1\text{H-NMR}$ and mass spectra identical to those of synthetic (+)-**1**. Synthetic and natural (+)-**1** were, furthermore, shown to be identical and enantiomerically pure by $^1\text{H-NMR}$ comparison of their dimethylester **18** using a chiral shift reagent as described below.

The filtrate containing the soluble Cu-salts was stirred under H_2S for 3 hr to give after filtration and evaporation a residue which was adsorbed onto strongly acidic ion exchange resin (Merck I, 10 ml). Washing with water (100 ml), elution of the amino diacids with 1N NH_4OH (250 ml) and evaporation of the eluate provided a residue (23 mg) which show two singlets at $\delta = 0.82$ and 0.85 ppm (CH_3 , ratio 1:2.5) and two doublets ($J = 9$) at $\delta = 4.02$ and 4.37 ppm (H-C(2), ratio 1:2.5) indicating the presence of **1** and a stereoisomer, tentatively assigned as its C(2)-epimer (β -allokainic acid).

(-)- α -Allokainic acid ((-)-**1**). The 11:89-mixture **14d/15d** (100 mg, 0.16 mmol), obtained by Me_2AlCl -mediated cyclization of **11d** was successively hydrolyzed, decarboxylated and purified as described above to give pure (-)- α -allokainic acid (solid, 21 mg, 62%); its absolute configuration and enantiomeric purity were determined by $^1\text{H-NMR}$ -comparison of its dimethylester with the ester **18** and the corresponding racemic ester in the presence of $\text{Eu}(\text{TFC})_3$. The $^1\text{H-NMR}$ (D_2O , 360 MHz) and mass spectra of (-)-**1** are identical to those of (+)-**1**.

α -Allokainic acid dimethylester (**18**). Freshly distilled SOCl_2 (0.1 ml) was added dropwise to MeOH (0.6 ml) at -15°. The resulting soln was added rapidly to a stirred suspension of **1** (9 mg, 0.04 mmol) in MeOH (0.2 ml) at -15°. Stirring of the mixture at -15° for 15 min, then at r.t. for 2 hr, evaporation, shaking of the residue with 1N Na_2CO_3 /ether and work-up afforded **18** (oil, 7 mg, 69%). IR (CCl_4): 2956, 1750, 1440, 1320, 1215, 1130, 900. $^1\text{H-NMR}$: 1.74 (br s, 3H); 2.17 (br s, 1H); 2.4–2.7 (4H); 2.8–3.3 (2H); 3.6 (m, 1H); 3.68 (s, 3H); 3.77 (s, 3H); 4.84 (br s, 2H). MS: 241 (14, $\text{C}_{12}\text{H}_{19}\text{NO}_4^+$), 209(11), 182(95), 150(14), 122(100), 101(59), 80(92).

The dimethylesters derived from (+)-**1**, (-)-**1** and (\pm)-**1** show identical spectra as described above. Their chirality

was determined as follows. The racemic ester **18** showed in the $^1\text{H-NMR}$ (360 MHz) after addition of $\text{Eu}(\text{TFC})_3$ each one of the signals corresponding to the protons of one O-Me group and of the allylic Me group split into two equally intense signals. Addition of the diester **18** derived from both, natural or, either synthetic (+)- α -allokainic acid increased the low field signal of all three signal pairs. Under identical conditions, the diester **18** derived from natural, as well as from synthetic (+)-**1** showed only one signal corresponding to the olefinic protons. The O-Me and the allylic Me groups, which after addition of racemic **18** overlapped with the low field signals of the three signal pairs displayed by racemic **18**. Proceeding in an analogous fashion, the corresponding signals of the diester **18** derived from (-)-**1** overlapped with the high field signals of the three characteristic signals pairs of racemic **18**.

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